

GRIEVANCE REDRESSAL & DISPUTE SETTLEMENT MECHANISM

Grievance Redressal – The personnel/s of the Portfolio Manager as listed out in the Disclosure Document will attend to and address any Client query/ concern/grievance in accordance with the grievance redressal mechanism stated in the Disclosure Document and Applicable Laws. If the Client still remains dissatisfied with the remedies offered or the stand taken by the personnel/s of the Portfolio Manager, the Client and the Portfolio Manager shall abide by the dispute settlement mechanism stated below. However, Parties shall, at all times, be bound by the provisions of this Agreement during the continuance of grievance redressal process which shall include the effects of acts done in good faith and all risk or losses arising out of normal business practices.

Dispute Settlement – Without prejudice to above, any dispute arising out of or in relation to this Agreement shall be submitted to arbitration by Parties under the Arbitration and Conciliation Act, 1996. The arbitration shall be before 3 (three) arbitrators, with each Party entitled to appoint an arbitrator and the third arbitrator being the presiding arbitrator appointed by the two arbitrators. Each Party will bear the expenses / costs incurred by it in appointing the arbitrator and for the arbitration proceedings. Further, the cost of appointing the presiding arbitrator will be borne equally by both the parties. Such arbitration proceedings shall be held at Pune and the language of the arbitration shall be English.

SEBI SCORES Portal- Without prejudice to anything stated above, the Client can also register its grievance/complaint through SCORES (SEBI Complaints Redress System), post which SEBI may forward the complaint to the Portfolio Manager and the Portfolio Manager will suitably address the same.

Governing Law & Jurisdiction- The Agreement shall be governed by the laws of India. The courts of Pune shall have exclusive jurisdiction to adjudicate upon the claims of the parties.

For and on behalf of DWAITH ADVISORY PRIVATE LIMITED




(Harsha Venkatesh)
Director
DIN: 08282348

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(Mr. Harsha Venkatesh)

Director
DPIN/DIN: 08282348
Place: Bengaluru
Date: Dec 12, 2023